

***FSA Integration Program***  
United States Department of Education  
Federal Student Aid



**R 2.0 Other Requirements Design**

***Task Order #116***

**Version 1.0**

***12/31/2003***

## Revision History

Date	Version	Description	Author
12/31/2003	1.0	Other design based on requirements selected in scope for R 2.0.	David Baker

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## **As-Is Code Finding Process**

### **Screener Submission View**

- When a Screener logs into eZ-Audit and selects a school to code its findings, the system displays the institution's submission page. On the left navigation bar, there is no option to access the code findings.

### **Co-Team Leader Submission View**

- When a Co-Team Leader logs into eZ-Audit and selects a submission to assign, the institution's submission summary is displayed. On the left navigation bar, there is no option to access the code findings.

## **To-Be Code Finding Process**

### **Screener Submission View (SS #1)**

- When a Screener logs into eZ-Audit and selects a school to code its findings, the system displays the institution's submission page. On the left navigation bar, there will now be an option to access the code findings.

### **Co-Team Lead Submission View (SS #2)**

- When a Co-Team Leader logs into eZ-Audit and selects a submission to assign, the institution's submission summary is displayed. On the left navigation bar, there will now be an option to access the code findings.

## **As-Is Navigation Process**

### **Manage Auditor Information View**

- When an Audit Resolution Specialist logs into eZ-Audit and selects a school submission to view, the system displays the institution's submission summary page. When the user selects "Manage Auditor Information" on the left navigation bar, the system displays the page containing the auditor's information. There is no left navigation bar on this screen providing access to other resolution options.

## **To-Be Navigation Process**

### **Manage Auditor Information View (SS #3/SS #4)**

- When an Audit Resolution Specialist logs into eZ-Audit and selects a school submission to view, the system displays the institution's submission summary page. When the user selects "Manage Auditor Information" on the left navigation bar, the system displays the page containing the auditor's information. A left navigation bar now exists providing the user access to other resolution options.

## **As-Is Resolution Process**

### **Submission Summary View**

- When a user logs into eZ-Audit to view a school's submission, a submission summary page is displayed. This page contains summary information regarding the institution such as date the submission was received. There is no resubmission date displayed on this page.

## **To-Be Resolution Process**

### **Submission Summary View (SS #5)**

- When a user logs into eZ-Audit to view a school's submission, a submission summary page is displayed. This page contains summary information regarding the institution such as date the submission was received. There is now a resubmission date displayed on this page under the "Institution Info" section. This date will only be populated if there is a resubmission.

## **As-Is Workflow Structure**

### **Compliance Audit/Financial Statement Workflow**

When a Quality Control user completes a review of a Compliance Audit, the system sends the audit to a screener if the Financial Statements have been QC'd. However, if the Financial Statement QC has not been completed, the Compliance Audit resides in a holding area until it is marked complete.

## **To-Be Workflow Structure**

### **Compliance Audit/Financial Statement Workflow**

- When a Quality Control user completes a review of a Compliance Audit, the system sends the audit to a screener regardless of whether the corresponding Financial Statements have been QC'd.
- When the Financial Statements are QC'd, they will reside in a holding area until the corresponding Compliance Audit(s) has had its findings coded (if applicable).
- After the Compliance Audit(s) have been QC'd, both records will be forwarded to the Co-Team Lead together.